

GA42152

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FORM**

(to be used for all correspondence after initial filing)

Application Number

09/870,959

RECEIVED

Filing Date

May 30, 2001

AUG 29 2001

First Named Inventor

Peter S. Banka

Group Art Unit

2152

Technology Center 2100

Examiner Name

Not yet assigned

Total Number of Pages in This Submission

11

Attorney Docket Number

51045.P001

ENCLOSURES

(check all that apply)

☒

Fee Transmittal Form

☐Assignment Papers
(for an Application)☐After Allowance Communication
to Group☐

Fee Attached

☐

Drawing(s)

☐Appeal Communication to Board
of Appeals and Interferences☒Preliminary
Amendment / Reply (2 pages)☐

Licensing-related Papers

☐Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐

After Final

☐

Petition

☐

Proprietary Information

☐

Affidavits/declaration(s)

☐Petition to Convert to a
Provisional Application☐

Status Letter

☐

Extension of Time Request

☐Power of Attorney, Revocation
Change of Correspondence
Address☒Other Enclosure(s) (please
identify below):☐

Express Abandonment Request

☐

Terminal Disclaimer

1. Return Receipt Postcard
2. Signed Supplemental Decl/POA (5
pages)

☐

Information Disclosure Statement

☐

Request for Refund

☐Certified Copy of Priority
Document(s)☐

CD, Number of CD(s) _____

☐Response to Missing Parts/
Incomplete Application

Remarks

☐Response to Missing Parts
under 37 CFR 1.52 or 1.53**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm
or
Individual nameJason K. Klindtworth
COLUMBIA IP LAW GROUP, PC

Signature

Date

August 23, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 23, 2001

Typed or printed name

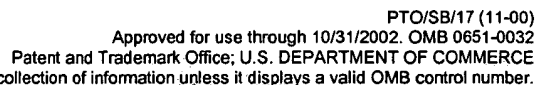
Michelle J. Turner

Signature

Date

8/23/01

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Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$)	0.00
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Complete if Known

Application Number	09/870,959
Filing Date	May 30, 2001
First Named Inventor	Peter S. Banka
Examiner Name	Not yet assigned
Group Art Unit	2152
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Technology Center 2100

FEE CALCULATION (continued)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number
Deposit
Account
Name

501569

Columbia IP Law Group, PC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ **Payment Enclosed:**

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FREE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Expense Recognition	Expenses are recognized when the related asset is consumed or the liability is incurred.	Expenses are recognized when the related asset is consumed or the liability is incurred.
3. Asset Recognition	Assets are recognized when they are probable to generate future economic benefits and their cost can be reliably measured.	Assets are recognized when they are probable to generate future economic benefits and their cost can be reliably measured.
4. Liability Recognition	Liabilities are recognized when they are probable to result in an outflow of resources and their amount can be reliably measured.	Liabilities are recognized when they are probable to result in an outflow of resources and their amount can be reliably measured.
5. Equity Recognition	Equity is recognized as the residual interest in the entity after deducting all liabilities from assets.	Equity is recognized as the residual interest in the entity after deducting all liabilities from assets.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
101	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

Fee Paid

SUBTOTAL (1)	(\$)
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2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	34 -34**=	X	=
Independent Claims	9 -9**=	X	=
Multiple Dependent			=

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is typically when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is typically when control of the goods or services is transferred to the customer.
2. Expense Recognition	Expenses are recognized when the related asset is consumed or the liability is incurred, which is typically when the performance obligation is satisfied.	Expenses are recognized when the related asset is consumed or the liability is incurred, which is typically when the performance obligation is satisfied.
3. Asset Recognition	Assets are recognized when the entity has control over a resource that is expected to generate future economic benefits.	Assets are recognized when the entity has control over a resource that is expected to generate future economic benefits.
4. Liability Recognition	Liabilities are recognized when the entity has a present obligation to transfer an asset or provide a service in the future.	Liabilities are recognized when the entity has a present obligation to transfer an asset or provide a service in the future.
5. Equity Recognition	Equity is recognized when the entity has a residual interest in the assets after deducting liabilities.	Equity is recognized when the entity has a residual interest in the assets after deducting liabilities.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in Excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)
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**or number previously paid. If greater: For Reissues, see above

3. ADDITIONAL FEES

	Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(\$)
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SUBMITTED BY

Name (Print/Type)

Jason K. Klindtworth

Registration No.
(Attorney/Agent)

47.211

Complete (if applicable)

Telephone

503-534-2800

Signature

Date _____

8/23/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

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